

Form No. FR-12

Branch

Client Trading Code No.

CLIENT REGISTRATION FORM - INDIVIDUALS ONLY

Receipt Stamp	Branch / Business Partner	Remarks

ABHIPRA CAPITAL LIMITED

Note :

The information to be given in the form, is the sole property of Abhipra Capital Limited and would not be disclosed to anyone unless required by law or except with the express permission of the client.

Member of NSE & BSE and Depository Participant with NSDL and CDSL

Approved Registrar & Share Transfer Agent Category-I

RBI Approved Full Fledged Money Changer

SEBI Regn No. NSE INB 230815035 CMBPID No. NSE 550161 DPID No. 300206

SEBI Regn No. BSE INB 010815034 CMBPID No. BSE 601726 DPID No. 300206

Registered Office : GF-58-59, World Trade Center, Barakhamba Lane, New Delhi-110001

Phone No. 23414629, 23413502

Corporate Office : Abhipra Complex, A-387, G.T. Karnal Road, Azadpur, Delhi-110033.

Phone No. 52390909, **Fax:-** 52390830

INTERNAL RECORDS

Name and Relationship Manager & Code			
Name of Exchange			
Client Code			
Security Deposit			
Branch Head			
Verified By	Name	Officer Initials	
Authorized By	Name	Officer Initials	

OCCUPATION DETAILS

Employed	Self Employed	Business Professional	House Wife	Others
----------	---------------	-----------------------	------------	--------

If Employed

Name of Employer					
Office Address				PIN	
	City		State		Country
Telephone No. (O)			Fax No./Telex No.		

If Self Employed/ Business/ Professional /Others

Name of Establishment					
Office Address				PIN	
	City		State		Country
Telephone No. (O)			Fax No./Telex No.		

FINANCIAL DETAILS OF THE CONSTITUENT

Tick the Appropriate			
Below Rs. 1 Lac.	<input type="checkbox"/>	Between Rs. 1 Lac to Rs. 5 Lacs	<input type="checkbox"/>
Between Rs. 5 Lacs to Rs. 10 Lacs	<input type="checkbox"/>	Between Rs. 10 Lacs to Rs. 25 Lacs	<input type="checkbox"/>
Above Rs. 25 Lacs	<input type="checkbox"/>		

INVESTMENT / TRADING EXPERIENCE

Investment Experience in (No of Years)			
Stocks			
Other Investment related fields			
Trading Preference			
A) Stock Exchange on which you wish to trade	<input type="checkbox"/> NSE	<input type="checkbox"/> BSE	<input type="checkbox"/> Both
B) Market Segments you wish to trade	<input type="checkbox"/> Cash Segment	<input type="checkbox"/> Derivative Market	<input type="checkbox"/> Debt Market

WHETHER REGISTERED WITH ANY OTHER BROKER-MEMBER

(if registered with multiple members, provide details of all)

Name of Broker	
Name of Exchange	
Client Code No.	

Details of any action taken by SEBI / Stock Exchange / any other authority for violation of securities laws/ other economic offences	
--	--

REFERENCES

Name of Introducer	
Address of Introducer	
Client Code	
Signature of Introducer	
MAPIN UID No. (if any)	

Declaration:

I/we hereby declare that all details furnished above are true and correct to the best of my knowledge and belief and I/we undertake to inform you of any changes therein immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I /We am/are aware that I/We may be held liable for it.

X _____
(1st A/c Holder Signature)

X _____
(2nd A/c Holder Signature)

Brokerage Details:

Delivery _____%

Minimum _____

Jobbing _____%

Minimum _____

Futures _____%

Minimum _____

Options _____%

Minimum _____

Other Charge etc.(If any) _____

AUTHORIZATION LETTER

I hereby authorize you :

- To maintain a running account for me in NSE/BSE
- To debit my account with the value of securities purchased by me, brokerage, service tax, security transaction tax., depository charges, margin and any other charges payable by me from time to time.
- To credit my account with the value of securities sold by me and any other miscellaneous dues receivable by me as result of my transactions with you.
- To retain my credit balance till you receive the request from my side for the payment or the same may be utilized for margin / payment for my further transactions.
- To transfer my credit balance and or securities in NSE / BSE / derivatives division / depository division / commodity division to any of the division interest.
- To have a lien on my Demat Account maintained with you i.e. M/s Abhipra Capital Ltd. In case of default by me in making the payment in any of the divisions mentioned above to fulfill my obligations towards you.
- To retain my securities brought by me in physical or demat form with you in safe custody in case of debit balance in my financial statement till clearance of dues or sake of securities subsequently.
- To accept / deliver my order / modification / confirmation / cancellation through telephone.
- To transfer my bought securities in subsequent settlement if sold by me.
- To send my financial accounts / bills through post or courier and e-mail and debit the requisite charges for the same.
- To charge the interest @ 24% if the payment is not made by me for my obligation within due time as per the Exchange rules.
- To not to issue the order / trade confirmation slips generated from the NEAT system unless otherwise requested by me in writing.
- To post the contract note / bills / financials to my user ID at Abhipra Portal.

Place : _____

X _____
(Signature of the individual constituent)

Date : _____

Annexure 2

AGREEMENT BETWEEN STOCK BROKER AND CLIENT

This agreement is made and executed at (Place) this day of , 20__ between :

M/s **ABHIPRA CAPITAL LIMITED**, a body corporate, incorporated under the provisions of the Companies Act, 1956, being a member of the National Stock Exchange and the Stock Exchange Mumbai (hereinafter called "the Exchange"), and having his/her/its registered office at GF-58-59, World Trade Centre, Barakhamba Lane, New Delhi-110001 (hereinafter called "the stock broker") which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include himself, herself, itself in the capacity of a trading member, while trading in the derivatives segment his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said firm, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, of the One Part;

And

Mr./Ms/M/s. _____, an individual//Karta of HUF/a sole proprietary concern having his/her/its residence/registered office at(hereinafter called "the client"), which expression shall, unless repugnant to the context or meaning thereof, be deemed to mean and include his/her heirs, executors, administrators and legal representatives/the partners for the time being of the said firm, the survivor or survivors of them and their respective heirs, executors, administrators and legal representatives/its successors, as the case may be, of the Other Part;

Whereas the stock broker is registered as the stock broker of the Exchange with SEBI registration number INB 230815035 (NSE) and INB 010815034 (BSE) in the Capital Market/ Cash Segment SEBI registration number INF 230815035 in the futures and Options Segment.

Whereas the client is desirous of investing/trading in those securities/derivatives/other instruments admitted to dealings on the Exchange as defined in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under from time to time.

Whereas the client has satisfied itself of the capacity of the stock broker to deal in securities and/or deal in derivatives contracts and wishes to execute its orders through the stock broker and the client shall from time to time continue to satisfy itself of such capacity of the stock broker before executing orders through the stock broker.

Whereas the stock broker has satisfied and shall continuously satisfy itself about the genuineness and financial soundness of the client and investment objectives relevant to the services to be provided; and Whereas the stock broker has taken steps and shall take steps to make the client aware of the precise nature of the Stock broker's liability for business to be conducted, including any limitations, the liability and the capacity in which the stock broker acts.

WHEREAS the stock broker and the client agree to be bound by all the Rules, Bye-laws and Regulations of the Exchange and circulars issued there under and Rules and Regulations of SEBI and relevant notifications of Government authorities as may be in force from time to time.

Now, therefore, in consideration of the mutual understanding as set forth in this agreement, the parties thereto have agreed to the following terms and conditions:

1. The client agrees to immediately notify the stock broker in writing if there is any change in the information in the 'client registration form' provided by the client to the stock broker at the time of opening of the account or at any time thereafter.
2. The stock broker declares that it has brought the contents of the risk disclosure document to the notice of client and made him aware of the significance of the said document. The client agrees that:
 - a. He has read and understood the risks involved in trading on a stock exchange.
 - b. He shall be wholly responsible for all his investment decisions and trades.
 - c. The failure of the client to understand the risk involved shall not render a contract as void or voidable and the client shall be and shall continue to be responsible for all the risks and consequences for entering into trades in the segments in which the client chose to trade.
 - d. He is liable to pay applicable initial margins, withholding margins, special margins or such other margins as are considered necessary by the stock broker or the Exchange or as may be directed by SEBI from time to time as applicable to the segment(s) in which the client trades. The stock broker is permitted in its sole and absolute discretion to collect additional margins (even though not required by the Exchange, Clearing House/Clearing Corporation or SEBI) and the client shall be obliged to pay such margins within the stipulated time.
 - e. Payment of margins by the client does not necessarily imply complete satisfaction of all dues. In spite of consistently having paid margins, the client may, on the closing of its trade, be obliged to pay (or entitled to receive) such further sums as the contract may dictate/require.
3. The Client agrees to pay to the stock broker, brokerage and statutory levies as are prevailing from time to time and as they apply to the Client's account, transactions and to the services that stock broker renders to the Client. The stock broker agrees that it shall not charge brokerage more than the maximum brokerage permissible as per the rules, regulations and bye-laws of the relevant stock exchange/SEBI.
4. The client agrees to abide by the exposure limits, if any, set by the stock broker or by the Exchange Clearing Corporation or SEBI from time to time.

5. Without prejudice to the stock broker's other rights (including the right to refer a matter to arbitration), the stock broker shall be entitled to liquidate/close out all or any of the client's positions for non-payment of margins or other amounts, outstanding debts, etc. and adjust the proceeds of such liquidation / close out, if any, against the client's liabilities/ obligations. Any and all losses and financial charges on account of such liquidation/closing-out shall be charged to and borne by the client.
6. The stock broker agrees that the money/securities deposited by the client shall be kept in a separate account, distinct from his/its own account or account of any other client and shall not be used by the stock broker for himself/itself or for any other client or for any purpose other than the purposes mentioned in SEBI Rules and Regulations circulars/ guidelines/Exchanges Rules/Regulations/Bye-laws and circulars.
7. The client agrees to immediately furnish information to the stock broker in writing, if any winding up petition or insolvency petition has been filed or any winding up or insolvency order or decree or award is passed against him or if any litigation which may have material bearing on his capacity has been filed against him.
8. The stock broker agrees to inform the client and keep him apprised about trading/settlement cycles, delivery/payment schedules, any changes therein from time to time, and it shall be the responsibility in turn of the client to comply with such schedules/procedures of the relevant stock exchange.
9. In the event of death or insolvency of the client or his/its otherwise becoming incapable of receiving and paying for or delivering or transferring securities which the client has ordered to be bought or sold, stock broker may close out the transaction of the client and claim losses, if any, against the estate of the client. The client or his successors, heirs and assigns shall be entitled to any surplus, which may result there from.
10. The stock broker agrees that it shall co-operate in redressing grievances of the client in respect of transactions routed through it and in removing objections for bad delivery of shares, rectification of bad delivery, etc. in respect of shares and securities delivered/to be delivered or received/to be received by the client.
11. The stock broker shall continue to be responsible for replacing bad deliveries of the client in accordance with applicable "Good & bad delivery norms" even after termination of the agreement and shall be entitled to recover any loss incurred by him in such connection from the client.
12. The stock broker shall ensure due protection to the client regarding client's rights to dividends, rights or bonus shares, etc. in respect of transactions routed through it and it shall not do anything which is likely to harm the interest of the client with whom and for whom it may have had transactions in securities.
13. The client and the stock broker agree to refer any claims and/or disputes to arbitration as per the Rules, Bye-laws and Regulations of the Exchange and circulars issued thereunder as may be in force from time to time.
14. The stock broker hereby agrees that he shall ensure faster settlement of any arbitration proceedings arising out of the transactions entered into between him and the client and that he shall be liable to implement the arbitration awards made in such proceedings.
15. Information about default in payment/delivery and related aspects by a client shall be brought to the notice of the relevant stock Exchange(s). In case where defaulting client is a proprietary firm or any other artificial legal entity, then the name(s) of individual/Karta of HUF / Proprietor as the case may be, shall also be communicated to the relevant stock exchange(s).
16. The stock broker and the client agree to reconcile their accounts at the end of each quarter with reference to all the settlements where payouts have been declared during the quarter.
17. The stock broker and the client agree to abide by any award passed by the Ombudsman under the SEBI (Ombudsman) Regulations, 2003.
18. The stock broker and the client declare and agree that the transactions executed on the Exchange are subject to Rules, Byelaws and Regulations and circulars issued there under of the Exchange and all parties to such trade shall have submitted to the jurisdiction of such court as may be specified by the Bye-laws and Regulations of the Exchange for the purpose of giving effect to the provisions of the Rules, Bye-laws and Regulations of the Exchange and the circulars issued there under .
19. The instructions issued by an authorised representative, if any, of the client shall be binding on the client in accordance with the letter authorising the said representative to deal on behalf of the said client.
20. Where the Exchange cancels trade(s) suo moto all such trades including the trade/s done on behalf of the client shall ipso facto stand cancelled.
21. This agreement shall forthwith terminate; if the stock broker for any reason ceases to be a member of the stock exchange including cessation of membership by reason of the stock broker's default, death, resignation or expulsion or if the certificate issued by the Board is cancelled.
22. The stock broker and the client shall be entitled to terminate this agreement without giving any reasons to the other party, after giving notice in writing of not less than one month to the other parties. Notwithstanding any such termination, all rights, liabilities and obligations of the parties arising out of or in respect of transactions entered into prior to the termination of this agreement shall continue to subsist and vest in /be binding on the respective parties or his / its respective heirs, executors, administrators, legal representatives or successors, as the case may be.

23. In addition to the specific rights set out in this Agreement, the stock broker and the client shall be entitled to exercise any other rights which the stock broker or the client may have under the Rules, Bye-laws and Regulations of the Exchange and circulars issued there under or Rules and Regulations of SEBI.

24. Words and expressions which are used in this Agreement, but which are not defined herein shall, unless the context otherwise requires, have the same meaning as assigned thereto in the Rules, Byelaws and Regulations of the Exchange and circulars issued there under.

25. The provisions of this agreement shall always be subject to Government notifications, any rules, regulations, guidelines and circulars issued by SEBI and Rules, Regulations and Bye-laws of the relevant stock exchange that may be in force from time to time.

26. The stock broker hereby undertakes to maintain the details of the client as mentioned in the client registration form or any other information pertaining to the client in confidence and that it shall not disclose the same to any person/authority except as required under any law/regulatory requirements; Provided however that the stock broker may so disclose information about its/his client to any person or authority with the express permission of the client.

27. The Client agrees that he will not act as a Sub-Broker without prior written permission of the Member.

28. The Client is aware that the Member tape-records the conversations between Client's representative and the Member, either personally or over the telephone, and hereby specifically permits the member to do so. Such recordings may be relied upon by the Member as and when required to resolve dispute in connection with the trading transactions. The Exchange(s) may cancel a trade suo moto without giving any reason thereof. There may be insufficient bids or offers or suspension of trading due to price limits or circuit breakers. The electronic trading systems either at the exchange or in the member's offices are vulnerable to temporary disruptions or failures. In the event of trade cancellation due to such events or vulnerabilities, the MEMBER shall be entitled to cancel relative contract(s) with the client. At times, due to such unforeseen circumstances, the member may not be able to execute the desired transaction (either the clients own transactions or transactions for enforcing margins as provided in this agreement) on a timely basis. The member does not accept responsibility for any losses that the client may incur on such eventualities beyond the control of the member.

29. In the event the Client transacts in more than one stock exchange or in different segments in one exchange or across exchanges, the client specifically authorizes the Member to set off the out standings in the Client's account maintained by the Member in respect of any stock exchange or any segment of any stock exchange against the money standing to the credit of the client's account maintained by the Member in respect of any other stock exchange or any other segment in any other stock exchange.

30. The client authorizes the member to offset dues owned by the client or any of his family members (as in the enclosure titled "Adjustment of Balances in Family Accounts") to any group or associate company of the member, from the amount standing to the credit of his/her account with the member.

31. The Member may require that the client to deposit interest- free margin of 20% or more/ less in the form of cash or securities on the price of the securities proposed to be purchased, unless the client already has an equivalent credit of securities with the Member.

32. The Member may require the client to deposit interest free margin of 20% or more/less in the form of cash or securities of the price of securities proposed to be sold, unless the Member has received the securities to be sold with valid transfer documents to the member's satisfaction prior to such sale.

33. For derivatives contracts, the client agrees that the MEMBER shall raise the bills on weekly basis. The client also agrees to pay an upfront margin on daily basis that will be sufficient to cover the outstanding position . If at any time during the week, the cumulative Mark to Market (MTM) margin falls short of the margin available in the client's account , the client agrees to heed the member's additional margin calls. As the upfront margin calls are purely for operational convenience, the client will ensure that margin are adequate at all times and will immediately make good any shortfall that the member may communicate.

34. The Client authorizes the Member to set off a part or whole of the Margin i.e. by way of appropriation of the relevant amount of cash or by sale or transfer of all or some of the securities which form part of the margin, against any dues of the client or of a member of the "Family" of the client (for the purpose of this agreement "Family" shall hereinafter mean all the individuals, group companies, firms, entities and other persons as specified by the Client as per enclosure titled "Adjustment of balances in Family Accounts") in the event of the failure of the client or a member of the Family of the client to meet any of their respective obligations under these terms.

35. In case where the payment by the client towards the margin is made through a cheque issued in favour of the Member, any trade(s) would be executed by the Member only upon the realization of the funds of the said cheque or at the discretion of the Member.

36. The client agrees and authorizes the Member to determine the market value of securities placed as Margin after applying a haircut that the member appropriate. The Client positions are valued at the latest market price available ("marked to market") on a continuous basis of the Member. The Client undertakes to monitor the adequacy of the collateral and the Market value of such securities on a continuous basis. If due to price fluctuations, there is erosion in the value of the margins, the Client agrees to replenish any shortfall in the value of the margins immediately, whether or not the Member intimates such shortfall.

37. The Member may at its sole discretion prescribe the payment of Margin in the form of cash instead of securities. The Client accepts to comply with the Member's requirement of payment of Margin in the form of cash immediately failing which the Member may sell, dispose, transfer or deal in any other manner the securities already placed with it as Margin or square off all or some of the positions of the Client as it deems fit in its

discretion without further reference to the Client and any resultant or associated losses that may occur due to such square off/sale shall be borne by the Client, and the Member is hereby fully indemnified and held harmless by the Client in this behalf.

38. Any reference in these terms to sale or transfer of securities by the Member shall be deemed to include sale of the securities, which form part of the Margin, maintained by the Client with the Member. In exercise of the Member's right to sell securities under the Agreement, the Client agrees that the choice of specific securities to be sold shall be solely at the Member's discretion.

39. The Client is responsible for all orders, including any orders that may be executed without the required Margin in the Client's account. If the Client's order is executed despite a shortfall in the available Margin, the Client shall, whether or not the Member intimates such shortfall in margin to the client, instantaneously make up the shortfall either through delivery of shares in the event of a sale or credit the required funds in the bank account via wire or personal cheque, cashier's cheque or money order or account transfer or any other mode.

40. If payment/securities towards the Margin or shortfall in Margin is not received instantaneously to enable restoration of sufficient Margin in the Client's account, all or some of the positions of the Client as well as the securities placed as Margin may be liquidated by the Member as its sole discretion, without any reference or prior notice to the Client. The resultant or associated losses that may occur due to such squaring off or sale of securities shall be borne by the Client, and the Member is hereby fully indemnified and held harmless by the Client in this behalf. Such liquidation or close out of positions shall apply to any segment in which the client does business with the member.

41. The Client specifically authorize the Member to set off the outstanding in the account maintained by the Member of any member's of the Client's Family, against the money standing to the credit of the account maintained by any other member of his/her Family across any segment of any stock exchange(s) and /or across any exchange(s).

42. Notwithstanding anything contained in these presents, any amounts which are overdue from the client or a member of the client's family towards trading in the cash segment or on account of any other reason to the Member or to any of the member's group or associate companies will be charged with delayed payment charges at the rate of % per month or such other rate as may be determined by the Member. The client hereby authorises the Member to directly debit the same to the account of the Client at the end of each month. The client also authorizes the member to debit charges for depository services to the trading account. The client also agrees that any amount overdue from him/her shall be adjusted by the member from dues owed to the client by any group or associate company of the member. Conversely, any money owed by any group or associate company of the member to the client shall offset against the dues owed by the member to the client. The client further authorizes the member to sell his margin shares/shares of his/her family member in order to recover the amount so due, including interest from the client/his/her family members, if the member so desires.

43. The Member shall send the trade confirmation to the client within 24 hours of the execution of the client's transaction, in form of contract note or otherwise via mail, e-mail, fax, through website, through courier, Registered A.D., oral communication or otherwise at the postal address, telephone/fax Nos. e-mail address intimated by the client to the member. The Client understands that it is his/her/its responsibility to review the trade confirmations, the contract notes, the bills or statements of account immediately upon their receipt. All information contained therein shall be binding upon the client, if the client does not object in writing to any of the contents within twenty-four hours of such intimation/confirmation. In all cases, the Member reserves the right to determine the validity of the client's objection to the transaction. The client agrees that the Member will not be responsible for the non-receipt of the trade confirmation due to any change in the correspondence address of the client not intimated to the Member in writing.

44. The client is aware that the Member is required to issue payment/securities due to the client within 24 hours of the payout from the exchange. In order to facilitate operations, the client authorizes the Member to maintain running account both of funds and securities of the client with the Member, instead of settlement to settlement clearance of dues/securities to the client. Also, the client authorizes the member to keep the shares, purchased by it as margin for his/her transactions or his/ her family members transactions.

45. Types of services offered: The Member agrees to provide and the Client agrees to avail of, the following services.

- Trading facilities.
- Clearing facilities.

And such other services as permitted by SEBI and the Exchanges from time to time.

46. The CLIENT shall indemnify and keep indemnified the MEMBER harmless from and against all claims, demands, actions, proceedings, losses, damages, liabilities, charges and/ or expenses that are occasioned or may be occasioned to the MEMBER directly or indirectly, owing to bad delivery of shares/ securities and/ or as a result of fake/ forged/ stolen shares/ securities/ transfer documents that are introduced or that may be introduced by or through the CLIENT during the course of its dealings/operations on BSE.

47. The client confirms having read and understood the terms and conditions of the member client agreement and those relating to various services and products and accepts and agrees to be bound by the terms and conditions including those excluding/limiting the member's and exchange liabilities.

48. In case the client buys securities in one settlement, and sells the same in a subsequent settlements, without having received the payout of the securities, any resulting auction/losses will be the sole responsibility of the client.

This agreement can be altered, amended and/ or modified by the parties mutually in writing without derogating from the contents of this Agreement. Provided however, if the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant stock Exchange, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this agreement.

49. The client agrees that all investment and disinvestment decisions are based on the Client own evaluation of financial circumstances and investment objectives. This extends to any decision made by the Client on the basis of any information that may be made available on the website of the Member. The Client will not hold nor seek to hold the Member or any of its officers, directors, employees, agents, subsidiaries, affiliates or business associates liable for any trading losses or other losses, costs or damage incurred by the Client consequent upon relying on information, research opinions or advice or any other material information whatsoever or the website whether put up by the Member or any other agency. The Member does not represent and shall not be deemed to have represented, that the investment information on the web is accurate or complete. The Client is aware that any information on the web site based on the research of the Member or other external sources is subject to normal variations in the stock market and is merely an estimation of the viability or otherwise of certain investments, and the Member shall not be deemed to have assumed any responsibility for such information. The Client should seek independent professional advice regarding the suitability of any investment decision. The Client also acknowledges that the Member's employees are not authorized to give any such advice and that the Client will not solicit or rely upon any such advice from the Member or any of its employees.

50. Restrictions on Access to Website and Training- The Client understands that the Member may at any time, at its discretion and without prior notice to the client, prohibit or restrict the Client's access to the use of the web site or related Services and the Client's ability to trade. The Member may terminate the Client's account at any time for any reason and without prior notice to the Client. The Client will bear any loss that he/she/it may be faced with due to inability to execute further trades on account of such restriction. The closing of an account will not affect the rights and/ or obligations of either the Member or the Client incurred prior to the date the account is closed.

51. POWER OF ATTORNEY – Bank Account. If the client executes a power of attorney in relation to the operation of its bank account, the Member is hereby authorized to directly operate the bank account of the Client to the extent necessary to credit and debit the proceeds/dues from the various transactions that are carried out by the Member on behalf of the Client, and also do debit (i) depository participant account charges (ii) Margin due or shortfall in Margin due from the Client, and (iii) any other charges or dues from the Client. The Client also agree and shall authorise the designated bank to block the required amount in the designated bank account against the desired limits and settlement obligations solely on the instructions from the Member in this regard. Once the amounts in the account are blocked, the Member shall, if the order of the Client gets executed either fully or partially, instruct the bank to transfer the amount equal to such obligation to Member's account on the settlement date or the pay in date and release the balance amounts that are blocked in the case where the order of the Client is partially executed or the full amount that is blocked in the case where the order does not get executed. The Client will not be allowed to withdraw, issue a cheque or otherwise use the blocked funds in its account. The Member will not be liable in any manner for any loss or claims that may arise due to any blocking of funds that may be erroneously instructed by the Member to the designated bank.

52. Depository Account- The Client shall execute a power of attorney for the operation of its Depository Account authorizing the Member to directly enable the transfer of relevant securities to the Pool Account of the Member through the issue of the necessary delivery instructions duly signed on behalf of the Client and to pledge, sell, dispose, transfer or deal in any other manner the securities placed by the Client with the Member as margin and lying in the Depository Participant Account. The Client shall also authorise the designated Depository Participant to block the securities sold in the designated Depository Account against the Client's order to sell securities. Once the securities in the account are blocked the Member shall, if the order of the Client is executed either fully or partially, instruct the depository to transfer the securities which are sold to Abhipra Securities Pool Account in time to meet the settlement obligation and release the balance securities that were blocked in the case of partial execution or all the securities that are blocked in the case of non execution. The Client agrees that it will not be allowed to pledge, instruct, transfer or otherwise use the blocked securities in its account. The Client also agrees that the blocking of the securities will be given first priority as against any other instructions issued by it against the blocked securities.

53. Securities Transactions- The Client shall authorize the MEMBER by executing a Power of Attorney or otherwise, to acquire by subscribing to or by purchase of securities and to sell, transfer and endorse the securities (including but not limited to Initial Public Offerings, Buy Back offers, Rights issues etc.) or redeem the same either through internet (using the Identification Number issued by any Mutual Fund from time to time or otherwise, and/or to sign and to execute all transfer deeds whether as transfer or transferee and such other instrument, application and papers as may be necessary for the purpose of acquiring or transferring/ redeeming the same, making pledge/lien on securities and/or for transferring the investments in the units of Mutual Fund one scheme to another or between Mutual Fund, to make applications for, or to renounce and sign renunciation forms in respect or bonds/debentures, rights shares and additional shares of any Company/Body/Authority and to receive and hold such rights or additional shares, bonds or debentures, rights shares and additional shares or any Company/ Body/ Authority and to receive and hold such rights or additional shares, bonds or debentures.

54. Freezing of the Accounts (-) In case where the Client executes the powers of attorney to above, the Client shall be entitled to exercise the right to close/ freeze/ pledge the accounts with the depository participant and/ or the bank, as the case may be, only if there is no obligation pending to be met by the Client any member to the Client's Group in favour of the Member in the form of cash and/ or security.

55. MEMBER CLIENT COMMUNICATION (-) Form of Communication (-) Documents which be sent by electronic delivery between the parties may be in the form of an electronic mail (including any auto replies from the system to the Member), an electronic mail attachment, or in the form of a available download form the web site. The Member shall be deemed to have fulfilled any legal obligation to deliver to the Client any such document if sent via electronic delivery, provided however that the notice of termination specified in the terms herein, shall be in writing.

56. Electronic Communication of Documents (-) The Member shall send to the Client by electronic means the contract note/ trade confirmation of the trades executed, bills and account statements. The Client agrees that the Member fulfill its Legal obligation to deliver to the Client any such documents if sent via electronic delivery. The Client understands that it is his/her/its responsibility to review, upon first receipt, whether delivered to Client may, by e-mail (including any auto replies from the system of the Member) or other electronic means, all confirmation statements, notices, contract bills and other communication. All information contained therein shall be binding upon the Client if the Client does not object either in

writing or via electronic mail, within twenty four hours after any such document is sent to the Client. In all cases, the Member reserves the right to determine the validity of the Client's objection to the transaction. The client agrees that the Member will not be responsible for non-receipt of documents sent via electronic delivery due to change in email address of the Client. The Client also agrees that the Member shall not take cognizance of out-of-office/out-of-station auto replies and the Client is deemed to have received such electronic mails.

57. Protection of Security Code(s) (-) The Client shall immediately notify that Member in writing, delivered via e-mail and Registered AD, if the Client becomes aware of any loss, theft or unauthorized use of the Client's Security Code(s) and account number or any failure by the Client to receive an accurate written confirmation of an execution including the contract note for the same or any receipt by the Client of confirmation of an order and/or execution which the Client did not place, or any inaccurate information in the Client's account balances, securities positions, or transaction history, in the case where the Client notifies such loss, theft or unauthorized use of the Client's Security code(s) password and account number to the Member, the Member shall suspend the use of the account of the Client. However the Client shall be responsible and liable for all transactions that are carried out by the Client password. When any of the above circumstances occur, neither the Member nor any of its officers, directors, employees, agents, affiliates, or subsidiaries will have any responsibility or liability to the Client or to any other person whose claim may arise through the Client with respect to any of the circumstances described above.

58. Member's Liability (-) Under no circumstances shall the Members or anyone involved in creating, producing, delivering or managing the Member's services be liable for any direct, incidental, special or consequential damages that result from the use of or inability to use the service, delay in transmission of any communication, in each case for any reason whatsoever (including on account of breakdown in systems) or out or any breach of any warranty or due to any fraud committed by any person whether in the employment of the Member or otherwise.

59. Amendment/Modification of the Term (-) The Member may at any time amend these Terms, by modifying or rescinding any of the existing provisions or conditions or by adding any new provision or condition, by conspicuously posting notice of such amendment on the website. The member shall not be required to communicate any modification or rescission individually to the Client either through physical or electronic form, and any separate notice of amendment or modification is deemed to be waived by the Client. The continued use of the services of the member after such notice will constitute acknowledgement and acceptance of such amendment. These Terms (as amended or modified from time to time) represent the entire agreement between the Client and the Member concerning the subject matter hereto.

60. Notices/ Policies (-) Certain policies and/or procedure may be further outlined on the Member's web site and material/literature and frequently asked questions (FAQ's) provided to the Client. Through the use of the Member's web site and services, the Client agrees to be bound by any and all such notices policies and terms of doing business.

61. SECURITY CODE (E) SECURITY PRECAUTIONS AND INFRASTRUCTURE (-) Use of Client-ID Password- The Client confirms and agrees that it will be the sole authorized user of the Username Password, Customer User Identification Number, Telephone Personal Identification Number (T-Pin) or other identification or security code (by whatever name called) to be given to it by the Member to access Member's system or service through Member's website, over the telephone or in such other manner as may be permitted by the member for availing of the service. The Client accepts sole responsibility for use, confidentiality and protection of the Username, Password, Customer User Identification Number, T-Pin or other security code(s) as issued by the Member to the Client from time to time (hereinafter referred to as " Security Codes") as well as for all orders and information changes entered into the Client's account using such security codes. The Client shall ensure that such security code(s) is/are not revealed to any third party or recorded in any written or electronic form if the Client forgets the security code(s) a request for change of such Security code(s) should be sent to the Member in writing on receipt of such a request, the Member shall discontinue the use of the old security code(s) and shall generate a new Security code(s) for the Client which shall be communicated to the Client. However , the Client shall be responsible and liable for all transaction that are carried out by the use of the old Security code(s). Neither the Member nor any of its officers, directors, employees, agents, affiliates or subsidiaries will have any responsibility or liability to the Client or to any person whose claim may arise through the respect to any of the circumstance described above.

62. Recording of Password (-) The Client shall memorise the Security code(s) and not record it in written or electronic form, in the event that the Client does record the Security code(s) in written or electronic form, he/she/it shall do so at his/her/its sole risk and responsibility.

63. Responsibility for Use of Security Code(s) (-) Any order entered using the Client's security code(s) either through the web site or through telephone or otherwise is deemed to be that of the Client. If third parties gain access to the Member's services through the use of the Client's security code(s) the Client will be deemed to be responsible for the same and hereby indemnifies and holds harmless the Member against any liability, costs or damages arising out of claims or suits by or against such third parties based upon or relating to such access and use, since the primary responsibility for such transaction shall be that of the Client.

The use and storage of any information including, without limitation, the Password, portfolio information transaction activity, account balances and any other information or orders on the Client's personal computer is at the Clients own risk and is the Client's sole responsibility.

64. Communications Equipment of the Client (-) The client is responsible for installing and maintaining the communication equipment (including personal computers and modems) and telephone or alternative services required at the Client's end and connectivity required for accessing and using the web site or related services. All communication costs, service charges, levies and fees incurred the Client in accessing the web site or related services will be borne by the Client.

65. Client's Infrastructure (-) For the purpose of these Terms, it is presumed that the Client has all the necessary and compatible infrastructure ready at its end for the purpose of accessing the web site of the Member or contacting the phone broking executive of the member prior to accessing the services provided pursuant to these Terms. The Member will not (and shall not be under any obligation to) assist the Client in installing the

required infrastructure or obtaining the necessary equipment permits and clearances to establish connectivity or linkages to the web site of the Member.

66. Prevention of Unauthorised Use (-) The Client will install the necessary safeguards and access restrictions to prevent unauthorized use of Clients computer systems or the security codes and ensure that no unauthorized person can gain access to the computer systems or such security codes.

67. Liability for Incorrect Instructions- The Client agrees to fully indemnify and hold harmless the Member for any losses arising from the execution of incorrect/ambiguous or fraudulent instructions that got entered through the system at the Client's end.

68. Secure Electronic Records and Digital Signatures- In the event that the certifying authorities and other infrastructure contemplated under the information Technology Act, 2000, for ensuring secure electronic records and secure digital signatures is notified by the concerned authorities and the infrastructure to enable the same is in place, the Member would have the right to require the Client to authorize the various transaction on the web site by means of such secure electronic records and secure digital signatures and discontinue the usage of the Client password.

69. Severability (-) If any provisions of these Terms are held invalid or unenforceable by reason of any law, rule administrative order or judicial decision by any court, or regulatory or self-regulatory agency or body, such invalidity or unenforceability shall attach only to such provision or terms held invalid. The validity of the remaining provisions and terms shall not be affected thereby and these Terms shall be carried out as if any such invalid/unenforceable provisions or terms were not contained herein.

IN WITNESS THEREOF the parties to the Agreement have caused these presents to be executed as of the day and year first above written.

Signed and delivered by

Client name

Client Signature X_____

Witness- Name, address & signature

Name
Address
Signature X_____

Abhipra Official – Name & Signature

Name
Signature X_____

Witness – Name & signature

Name
Signature X_____

Place : _____

Date : _____

Note: All references to the specific quantity/rate/fee mentioned in this agreement are subject to change from time to time, as so agreed to in writing between the parties.

Annexure 4

COMBINED RISK DISCLOSURE DOCUMENT FOR CAPITAL MARKET/CASH SEGMENT AND FUTURES & OPTIONS SEGMENT (TO BE GIVEN BY THE BROKER TO THE CLIENT)

This document is issued by the member of the National Stock Exchange of India (hereinafter referred to as "NSE") / The Stock Exchange, Mumbai (hereinafter referred to as "BSE") which has been formulated by the Exchanges in coordination with the Securities and Exchange Board of India (hereinafter referred to as "SEBI") and contains important information on trading in Equities and F&O Segments of NSE / BSE. All prospective constituents should read this document before trading on Capital Market/Cash Segment or F&O segment of the Exchanges.

NSE/BSE/SEBI does neither singly or jointly and expressly nor impliedly guarantee nor make any representation concerning the completeness, the adequacy or accuracy of this disclosure document nor has NSE/BSE/SEBI endorsed or passed any merits of participating in the trading segments. This brief statement does not disclose all the risks and other significant aspects of trading.

In the light of the involved, you should undertake transactions only if you understand the nature of the contractual relationship into which you are entering and the extent of your exposure to risk.

You must know and appreciate that investment in Equity shares, derivative or other instruments traded on the Stock Exchange(s), which have varying element of risk, is generally not an appropriate avenue for someone of limited resources/limited investment and/or trading experience and low risk tolerance. You should therefore carefully consider whether such trading is suitable for you in the light of your financial condition. In case you trade on NSE/BSE and suffer adverse consequences or loss, you shall be solely responsible for the same and NSE/BSE, its Clearing Corporation/Clearing House and/or SEBI shall not be responsible, in any manner whatsoever, for the same and it will not be open for you to take a plea that no adequate disclosure regarding the risks involved was made or that you were not explained the full risk involved by the concerned member. The constituent shall be solely responsible for the consequences and no contract can be rescinded on that account. You must acknowledge and accept that there can be no guarantee of profits or no exception from losses while executing orders for purchase and/or sale of a security or derivative being traded on NSE/BSE.

It must be clearly understood by you that your dealings on NSE/BSE through a member shall be subject to your fulfilling certain formalities set out by the member, which may inter alia include your filling the know your client form, client registration form, execution of an agreement, etc., and are subject to the Rules, Byelaws and Regulations of NSE/BSE and its Clearing Corporation, guidelines prescribed by SEBI and in force from time to time and Circulars as may be issued by NSE/BSE or its Clearing Corporation/Clearing House and in force from time to time.

NSE/BSE does not provide or purport to provide any advice and shall not be liable to any person who enters into any business relationship with any trading member and/or sub-broker of NSE/BSE and/or any third party based on any information contained in this document. Any information contained in this document must not be construed as business advice/investment advice. No consideration to trade should be made without thoroughly understanding and reviewing the risks involved in such trading. If you are unsure, you must seek professional advice on the same.

In considering whether to trade or authorize someone to trade for you, you should be aware of or must get acquainted with the following:-

1. BASIC RISKS INVOLVED IN TRADING ON THE STOCK EXCHANGE (EQUITY AND OTHER INSTRUMENTS)

1.1 Risk of Higher Volatility:

Volatility refers to the dynamic changes in price that securities undergo when trading activity continues on the Stock Exchange. Generally, higher the volatility of a security/contract, greater is its price swings. There may be normally greater volatility in thinly traded securities/contracts than in active securities/contracts. As a result of volatility, your order may only be partially executed or not executed at all, or the price at which your order got executed may be substantially different from the last traded price or change substantially thereafter, resulting in notional or real losses.

1.2 Risk of Lower Liquidity:

Liquidity refers to the ability of market participants to buy and/or sell securities / contracts expeditiously at a competitive price and with minimal price difference. Generally, it is assumed that more the numbers of orders available in a market, greater is the liquidity. Liquidity is important because with greater liquidity, it is easier for investors to buy and/or sell securities / contracts swiftly and with minimal price difference, and as a result, investors are more likely to pay or receive a competitive price for securities / contracts purchased or sold. There may be a risk of lower liquidity in some securities / contracts as compared to active securities / contracts. As a result, your order may only be partially executed, or may be executed with relatively greater price difference or may not be executed at all.

1.2.1 Buying/selling without intention of giving and/or taking delivery of a security, as part of a day trading strategy, may also result into losses, because in such a situation, stocks may have to be sold/purchased at a low/high prices, compared to the expected price levels, so as not to have any obligation to deliver/receive a security.

1.3 Risk of Wider Spreads:

Spread refers to the difference in best buy price and best sell price. It represents the differential between the price of buying a security and immediately selling it or vice versa. Lower liquidity and higher volatility may result in wider than normal spreads for less liquid or illiquid securities / contracts. This in turn will hamper better price formation.

1.4 Risk-reducing orders:

Most Exchanges have a facility for investors to place "limit orders", "stop loss orders" etc". The placing of such orders (e.g., "stop loss" orders, or "limit" orders) which are intended to limit losses to certain amounts may not be effective, many a time, because rapid movement in market conditions may make it impossible to execute such orders.

1.4.1 A "market" order will be executed promptly, subject to availability of orders on opposite side, without regard to price and that, while the customer may receive a prompt execution of a "market" order, the execution may be at available prices of outstanding orders, which satisfy the order quantity, on price time priority. It may be understood that these prices may be significantly different from the last traded price or the best price in that security.

1.4.2 A "limit" order will be executed only at the "limit" price specified for the order or a better price. However, while the customer receives price protection, there is a possibility that the order may not be executed at all.

1.4.3 A stop loss order is generally placed "away" from the current price of a stock / contract, and such order gets activated if and when the stock / contract reaches, or trades through, the stop price. Sell stop orders are entered ordinarily below the current price, and buy stop orders are entered ordinarily above the current price. When the stock reaches the pre-determined price, or trades through such price, the stop loss order converts to a market/limit order and is executed at the limit or better. There is no assurance therefore that the limit order will be executable since a stock / contract might penetrate the pre-determined price, in which case, the risk of such order not getting executed arises, just as with a regular limit order.

1.5 Risk of News Announcements:

Issuers make news announcements that may impact the price of the securities / contracts. These announcements may occur during trading, and when combined with lower liquidity and higher volatility, may suddenly cause an unexpected positive or negative movement in the price of the security / contract.

1.6 Risk of Rumours: Rumours about companies at times float in the market through word of mouth, newspapers, websites or news agencies, etc. The investors should be wary of and should desist from acting on rumours.

1.7 System Risk:

High volume trading will frequently occur at the market opening and before market close. Such high volumes may also occur at any point in the day. These may cause delays in order execution or confirmation.

1.7.1 During periods of volatility, on account of market participants continuously modifying their order quantity or prices or placing fresh orders, there may be delays in order execution and its confirmations.

1.7.2 Under certain market conditions, it may be difficult or impossible to liquidate a position in the market at a reasonable price or at all, when there are no outstanding orders either on the buy side or the sell side, or if trading is halted in a security due to any action on account of unusual trading activity or stock hitting circuit filters or for any other reason.

1.8 System/Network Congestion:

Trading on NSE/BSE is in electronic mode, based on satellite/leased line based communications, combination of technologies and computer systems to place and route orders. Thus, there exists a possibility of communication failure or system problems or slow or delayed response from system or trading halt, or any such other problem/glitch whereby not being able to establish access to the trading system/network, which may be beyond the control of and may result in delay in processing or not processing buy or sell orders either in part or in full. You are cautioned to note that although these problems may be temporary in nature, but when you have outstanding open positions or unexecuted orders, these represent a risk because of your obligations to settle all executed transactions.

2. As far as Futures and Options segment is concerned, please note and get yourself acquainted with the following additional features:-

2.1 Effect of "Leverage" or "Gearing"

The amount of margin is small relative to the value of the derivatives contract so the transactions are 'leveraged' or 'geared'. Derivatives trading, which is conducted with a relatively small amount of margin, provides the possibility of great profit or loss in comparison with the principal investment amount. But transactions in derivatives carry a high degree of risk.

You should therefore completely understand the following statements before actually trading in derivatives trading and also trade with caution while taking into account one's circumstances, financial resources, etc. If the prices move against you, you may lose a part of or whole margin equivalent to the principal investment amount in a relatively short period of time. Moreover, the loss may exceed the original margin amount.

A. Futures trading involves daily settlement of all positions. Every day the open positions are marked to market based on the closing level of the index. If the index has moved against you, you will be required to deposit the amount of loss (notional) resulting from such movement. This margin will have to be paid within a stipulated time frame, generally before commencement of trading next day.

B. If you fail to deposit the additional margin by the deadline or if an outstanding debt occurs in your account, the broker/member may liquidate a part of or the whole position or substitute securities. In this case, you will be liable for any losses incurred due to such close-outs.

C. Under certain market conditions, an investor may find it difficult or impossible to execute transactions. For example, this situation can occur due to factors such as illiquidity i.e. when there are insufficient bids or offers or suspension of trading due to price limit or circuit breakers etc.

D. In order to maintain market stability, the following steps may be adopted: changes in the margin rate, increases in the cash margin rate or others. These new measures may also be applied to the existing open interests. In such conditions, you will be required to put up additional margins or reduce your positions.

E. You must ask your broker to provide the full details of the derivatives contracts you plan to trade i.e. the contract specifications and the associated obligations.

2.2. Risk of Option holders

1. An option holder runs the risk of losing the entire amount paid for the option in a relatively short period of time. This risk reflects the nature of an option as a wasting asset which becomes worthless when it expires. An option holder who neither sells his option in the secondary market nor exercises it prior to its expiration will necessarily lose his entire investment in the option. If the price of the underlying does not change in the anticipated direction before the option expires to an extent sufficient to cover the cost of the option, the investor may lose all or a significant part of his investment in the option.

2. The Exchange may impose exercise restrictions and have absolute authority to restrict the exercise of options at certain times in specified circumstances.

2.3 Risks of Option Writers

1. If the price movement of the underlying is not in the anticipated direction, the option writer runs the risks of losing substantial amount.

2. The risk of being an option writer may be reduced by the purchase of other options on the same underlying interest and thereby assuming a spread position or by acquiring other types of hedging positions in the options markets or other markets. However, even where the writer has assumed a spread or other hedging position, the risks may still be significant. A spread position is not necessarily less risky than a simple 'long' or 'short' position.

3. Transactions that involve buying and writing multiple options in combination, or buying or writing options in combination with buying or selling short the underlying interests, present additional risks to investors. Combination transactions, such as option spreads, are more complex than buying or writing a single option. And it should be further noted that, as in any area of investing, a complexity not well understood is, in itself, a risk factor. While this is not to suggest that combination strategies should not be considered, it is advisable, as is the case with all investments in options, to consult with someone who is experienced and knowledgeable with respect to the risks and potential rewards of combination transactions under various market circumstances.

3. GENERAL

3.1 Commission and other charges

Before you begin to trade, you should obtain a clear explanation of all commission, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss.

3.2 Deposited cash and property

You should familiarize yourself with the protections accorded to the money or other property you deposit particularly in the event of a firm insolvency or bankruptcy. The extent to which you may recover your money or property may be governed by specific legislation or local rules. In some jurisdictions, property, which has been specifically identifiable as your own, will be pro-rated in the same manner as cash for purposes of distribution in the event of a shortfall. In case of any dispute with the member, the same shall be subject to arbitration as per the byelaws/regulations of the Exchange.

3.3 For rights and obligations of the clients, please refer to Annexure-I enclosed with this document.

3.4 The term 'constituent' shall mean and include a client, a customer or an investor, who deals with a member for the purpose of acquiring and/or selling of securities through the mechanism provided by NSE/BSE.

3.5 The term 'member' shall mean and include a trading member, a broker or a stock broker, who has been admitted as such by NSE/BSE and who holds a registration certificate as a stock broker from SEBI.

I hereby acknowledge that I have received and understood this risk disclosure statement and annexure I containing my rights and obligation.

Customer Signature : X_____

Name : _____ Date : _____

ANNEXURE I

INVESTOR'S RIGHTS AND OBLIGATIONS

1.1 You should familiarize yourself with the protection accorded to the money or other property you may deposit with your member, particularly in the event of a default in the stock market or the broking firm's insolvency or bankruptcy.

1.1.1 Please ensure that you have a documentary proof of your having made deposit of such money or property with the member, stating towards which account such money or property deposited.

1.1.2 Further, it may be noted that the extent to which you may recover such money or property may be governed by the Bye-laws and Regulations of NSE/BSE and the scheme of the Investors Protection Fund in force from time to time.

1.1.3 Any dispute with the member with respect to deposit, margin money etc and producing an appropriate proof thereof, shall be subject to arbitration as per the Rules, Byelaws/Regulations of NSE/BSE or its Clearing Corporation/Clearing House.

1.2 Before you begin to trade, you should obtain a clear idea from your member of all brokerage, commissions, fees and other charges which will be levied on you for trading. These charges will affect your net cash inflow or outflow.

1.3 You should exercise due diligence and comply with the following requirement of the NSE/BSE and/or SEBI.

1.3.1 Please deal only with and through SEBI registered members of the Stock Exchange and are enabled to trade on the Exchange. All SEBI registered members are given a registration no., which may be verified from SEBI. The details of all members of NSE/BSE and whether they are enabled to trade may be verified from NSE/BSE website (www.nseindia.com/www.bseindia.com).

1.3.2 Demand any such information, details and documents from the member, for the purpose of verification, as you may find it necessary to satisfy yourself about his credentials.

1.3.3 Furnish all such details in full as are required by the member as required in "Know Your Client" form, which may also include details of PAN or Passport or Driving Licence or Voters Id, or Ration Card, bank account and depository account, or any such details made mandatory by SEBI/NSE at any time, as is available with the investor.

1.3.4 Execute a broker-client agreement in the form prescribed by SEBI and/or the Relevant Authority of NSE or its Clearing Corporation/Clearing House from time to time, because this may be useful as a proof of your dealing arrangements with the member.

1.3.5 Give any order for buy or sell of a security in writing or in such form or manner, as may be mutually agreed. Giving instructions in writing ensures that you have proof of your intent, in case of disputes with the member.

1.3.6 Ensure that a contract note is issued to you by the member which contains minute records of every transaction. Verify that the contract note contains details of order no., trade number, trade time, trade price, trade quantity name of security, client code allotted to you and showing the brokerage separately. Contract notes are required to be given/sent by the member to the investors latest on the next working day of the trade. Contract note can be issued by the member either in electronic form using digital signature as required, or in hard copy. In case you do not receive a contract note on the next working day or at a mutually agreed time, please get in touch with the investors Grievance Cell of NSE/BSE, without delaying.

1.3.7 Facility of Trade Verification is available on NSE/BSE website (www.nseindia.com/www.bseindia.com), where details of trade as mentioned in the contract note may be verified from the trade date upto five trading days. Where trade details on the website, do not tally with the details mentioned in the contract note, immediately get in touch with the investors Grievance Cell of NSE/BSE.

1.3.8 Ensure that payment/delivery of securities against settlement is given to the concerned member within one working day prior to the date of pay-in, announced by NSE/BSE or its Clearing Corporation/Clearing House. Payments should be made only by account payee cheque in favour of the firm/company of the trading member and a receipt or acknowledgement towards what such payment is made be obtained from the member. Delivery of securities is made to the pool account of the member rather than to the beneficiary account of the member.

1.3.9 In case pay-out of money and/or securities is not received on the next working day after date of pay-out announced by NSE/BSE or its Clearing Corporation/Clearing House, please follow-up with the concerned member for its release. In case pay-out is

not released as above from the member within five working days, ensure that you lodge a compliant immediately with the Investors' Grievance Cell of NSE/BSE.

1.3.10 Every member is required to send a complete 'Statement of Accounts' for both funds and securities settlement to each of its constituents, at such periodicity as may be prescribed by time to time. You should report errors if any, in the Statement immediately, but not later than 30 calendar days of receipt thereof, to the member. In case the error is not rectified or there is a dispute, ensure that you refer such matter to the Investors Grievance Cell of NSE/BSE, without delaying.

1.3.11 In case of a complaint against a member/registered sub-broker, you should address the complaint to the Office as may be specified by NSE/BSE from time to time.

1.4 In case where a member surrenders his membership, NSE/BSE gives a public notice inviting claims, if any, from investors. In case of a claim, relating to "transaction executed on the trading system" of NSE/BSE, ensure that you lodge a claim with NSE/BSE/NSCCL/Clearing House within the stipulated period and with the supporting documents.

1.5 In case where a member is expelled from trading membership or declared a defaulter, NSE/BSE gives a public notice inviting claims, if any, from investors. In case of a claim, relating to "transactions executed on the trading system" of NSE/BSE, ensure that you lodge a claim with NSE/BSE with the stipulated period and with the supporting documents.

1.6 Claims against a defaulter/expelled member found to be valid as prescribed in the relevant Rules/Bye-laws and the scheme under the Investors' Protection Fund (IPF) may be payable first out of the amount vested in the Committee for Settlement of Claim against Defaulters, on pro-rata basis if the amount is inadequate. The balance amount of claim, if any, to a maximum amount of Rs. 10 lacs per investor claim, per defaulter/expelled member may be payable subject to such claim being found payable under the scheme of the IPF.

Note :

1. The term 'Constituent' shall mean and include a client, a client, a customer or an investor, who deals with a trading member of NSE/BSE for the purpose of acquiring and/or selling of securities through the mechanism provided by NSE/BSE.
2. The term 'member' shall mean and include a member or a broker or a stock broker, who has been admitted as such by NSE/BSE and who holds a registration certificate as a stock broker from SEBI.
3. NSE/BSE may be substituted with name of the relevant exchanges, wherever applicable.

Customer Signature X _____

Date : _____

Name : _____

Place : _____

(If partner, Corporate, or other Signatory, then attest with company seal)

FORM NO. 60
(See third provision to rule 114B)

Form of Declaration to be filed by a person who does not have either a Permanent Account Number or General Index Register Number and who makes payments in cash in respect of **transaction specified in clauses (a) to (h) of rules 114B**

1. Full name and address of the declarant _____

2. Particulars of transaction _____

3. Amount of the Transaction _____

4. Are you assessed to tax ? Yes/No

5. If yes,

(i) Details of Ward/Circle/range where the last return of income was filed _____

(ii) Reasons for not having Permanent Account Number/ _____

General Index Register Number _____

6. Details of the document being produced in support of address in column(1) _____

Verification:

I,do hereby declare that what is stated above is true to the best of my knowledge and belief..

Verified today, theday of, 20

X _____
Signature of the declarant

Date :

Place :

Instructions : Documents which can be produced in support of the address are :

- (a) Ration Card, (b) Passport, (c) Driving Licence (d) Identity Card issued by any institution Co., (e) Copy of the electricity bill or telephone bill showing residential address, (f) Any document or communication issued by any authority of central Government, State Government or local bodies showing residential address, (g) Any other documentary evidence in support of his address given in the declaration.

ANNEXURE III

To
M/s ABHIPRA CAPITAL LIMITED
Abhipra Complex, A-387, Dilkhush Industrial Area
G.T. Karnal Road, Azadpur
Delhi-110033

Dear Sir,

Sub : **Despatch of documents electronically through Abhipra Portal**

I/We am/are dealing in securities with you under the below mentioned trading code. As I/we have fully understood the working and procedure of Abhipra Portal. I/we request you to create my/our user ID as under :-

1. _____
2. _____
3. _____

I/We do not require any hard copy of the documents like Contract Note/bills and financials. You are requested to kindly post the same at Abhipra Portal.

Thanking you.

Yours faithfully.

X _____
(Signature of Client)

Name : _____

Address : _____

Client Code : _____

E-mail _____

Phone (R) _____

(O) _____

(M) _____

ANNEXUARE IV

CONSENT FOR TRANSFER OF CREDITS & SETTLEMENT FOR INTRA / INTER SEGMENT INTER EXCHANGE

I/ Weregistered with Abhipra Capital Limited, as client/constituents on the BSE and/or NSE Cash/Capital Markets/and/ or Futures & Options, NCDEX and MCX for Commodity Segment and having a Depository Account with ACL member NSDL/CDSL do hereby authorize you as under:

To Move/transfer funds any/all my/our account/s and/ or payouts resulting on account of sale of shares and securities and/or payouts due to settlement/closing of contracts and/or margin refunds from cash/capital markets and/or F&O of BSE and/or NSE and Commodity Exchanges adjust the same towards debit balances in any/all my/out accounts of cash/capital market and/or F&O segment of BSE and/or NSE and Commodity Segment of NCDEX and MCX and others and debit balances in depository account and/or any other debits and/or payments.

I/We also understand that this would save on banking charges and/or operational delays and cost towards transfer of funds between an account where I/we have a credit balance to an account where I/we have a debit balance. Further, you are authorized to do the transfer, either by passing a journal entry in your books of I/we have affixed signatures herein below consenting to the above and the same shall be in force until I/we revoke it in writing.

Signed on this _____ day of _____ 200_ at _____

Thanking you,

Yours faithfully.

X _____
(Signature of Client)

Abhipra Account Code details :

Capital Market Segment : Code _____ Dep. A/c No. _____
(NSE/BSE)

Derivatives Segment : Code _____ DP ID : _____

Commodity Segement : Code _____ DP Name : _____

ANNEXURE-V

Date:
To,
Abhipra Capital Limited

SUBJECT : AUTHORISATION OF GROUP/FAMILY DEALINGS

Sr.No.	Client Code of Family Member/Associate	Name of Family Member/Associate	Category*	Name of Father/Spouse	Signature

I do hereby solemnly affirm and declare as under :

1. That the above – mention authorized person/ Members of my family are regularly trading / Investing through you on the Stock Exchange of Mumbai and / or any stock exchange(s). For all purposes of operations of my account with you, the above accounts shall be termed as Group Account.
2. That I hereby authorize you to sell shares standing in my account and to transfer the proceeds of the same of such shares to the abovementioned accounts to set off all outstanding debit amounts in their respective accounts including interest on delayed payments.
3. That I hereby authorize you to set off a part or whole of the collateral i.e. by way of appropriation of relevant amount of cash or by sale or transfer of all or some of the securities placed as margin/collateral and/or any account of the Client or of the Members of the family of the Client Online or Online accounts in Capital Market/Derivative Market, Commodity Segments or Depository account with the Trading Member against the outstanding dues in the account of the Client and or/ of the Member of the family of the Client under this agreement and or any of the above mentioned segments and Depository account with the Trading Member. The above mentioned family Members/ authorized persons/ group persons is are / also authorized to receive all documents from Abhipra Capital Limited and is/are/ further authorized to do all acts necessary for operation in any account. All transactions, inter alia include transfer of any or entire funds to his/her/their account with you or otherwise and thereafter withdrawal of any or entire funds by cheques in his/her/their name. That I agree to intimate you from time to time of any additions or deletions of group/family Members in the group/family. I agree that any deletion shall take effect only on completion of settlement and adjustment of balances in all the accounts of the Members of the group/ family. In order to facilitate operations. I authorize the Member to maintain a running account instead of settlement to settlement clearance of dues or delivery of securities to me and no interest shall be payable by the Member on the same.

4. That I have given the above authority to Abhipra Capital Limited with my free consent and without any pressure, threat or coercion from any corner whatsoever.

5. That in future I shall not make any claim from Abhipra Capital Limited in any manner whatsoever of any collateral adjusted from cash or securities in my account to set off the outstanding debit amounts in the above mentioned accounts and I further state that if anybody on my behalf claims the above said adjusted amount, the said act shall be null and void and shall be ineffective from all corners.

6. That this is my true irrevocable statement and shall remain binding on me.

7. That I hereby undertake to intimate you from time to time of any additions of the Constituents to the said Group Account for the Purpose of either allowing them to trade on my behalf or for the purpose adjustment of balances inter se.

8. The signatures of the above mentioned authorized persons/members of my family consenting to the above mentioned terms of adjustment are affixed below :

Signature : X_____

Name of the Client : _____

Client Code : _____

Categories:

Individual//HUF/Proprietorship/NRI/Others (please specify)

FOR ANY INFORMATION PLEASE CONTACT : PHONE :52390909

GENERAL AND MANDATORY TERMS FOR DEALING

1. All orders to be executed as per rules & regulations prescribed by the SEBI / NSE & BSE.
2. Client shall get confirm with Abhipra for his order executed on the very same day & any discrepancy should be brought to the notice in writing by next day morning till 11.00 a.m.
3. All transactions of sale/purchase shall be subject to a pre decided brokerage. All purchase billing will be raised after addition of brokerage and service tax and other statutory dues to the market price and in case of sales billing the brokerage and service tax and other statutory dues will be deducted from the market price.
4. For all purchases, the full payment will have to be made by the client before hand preferably by way of an A/c payee cheque / Demand Draft/ Pay order in favour of “Abhipra Capital Ltd” payable at Delhi.
5. The client will be responsible to bear any loss arising out of auction/penalties imposed by the stock exchange on account of late/non delivery//bad delivery of shares, failure of instruction on technical reason. Such charges will accordingly be debited to the client’s account with us and Abhipra will not be responsible for any loss.
6. All rules & regulations, guidelines etc, issued by the stock exchange(s), as and when applicable, shall be followed by the company and the client will also be bound to follow the same.
7. Abhipra will not be responsible for any loss occurred on account of closure of VSAT / System on account of any reason.
8. Abhipra has the lien over the demat account of the client in case of default by the client in making the payment of the bills or other obligation to Abhipra in any of its division.
9. The client shall furnish duly filled up instruction slip for his delivery pay-in obligation in due time in the Abhipra Pool Account.
10. For sale transaction client will have to deliver the securities transfer only from his demat account number.
11. Third party’s A/c instruction delivery shall not be entertained.
12. Abhipra have the right to retain the deliveries of the client against paying / margin obligation etc.

X Indicates Signature

This form is Incomplete without following documents:-

Authorization Letter | Agreement Between Stock Broker And Client | Combined Risk Disclosure Document | Investor’s Rights and Obligations | Form 60 (if Applicable) | Annexure III | Annexure-IV | Authorization of Group / Family Dealings | General and Mandatory Terms for Dealing

[Click here for Checklist](#)